

## Checklist for Determining if Interventions have Reduced Risk

Item	Action
1. Did you effectively implement the intervention?	
a. Was the intervention implemented?	Review written policy, protocol, or procedure
b. Are staff aware of the intervention?	Survey staff
c. Is the intervention being carried out?	Evaluate/observe use of intervention
d. Was compliance with the intervention audited?	Ask quality improvement team
2. Do staff believe risk is reduced?	
a. Are staff aware of the event, causes, and consequences?	Survey Staff
b. How important is the risk of this event?	Survey Staff
c. Do staff agree with the intervention that was implemented?	Survey Staff
d. Do staff feel the intervention was implemented effectively?	Survey Staff
e. Do staff feel that the risk is reduced?	Survey Staff
f. Any unintended consequences?	Survey Staff
3. Have you reduced the frequency of the event?	
a. What is the frequency of the event since the RCA?	Review data
b. Have you reduced the frequency of intermediary steps that might lead to the event? (e.g. near misses)	Review reports of related near misses
c. Have you decreased the presence of contributing factors associated with the event?	Review incident reports
d. Have you increased the frequency/presence of mitigating factors that normally prevent this type of event from occurring?	Review process maps, FMEAs, incident reports

Pham et al. ReCASTing the RCA: An improved model for performing root causes analyses. American Journal of Medical Quality. 2010;25(3):186-191.